

GUIDANCE ON TRANSACTION OF FUND CERTIFICATES

The logo for JTB Capital Online features a red five-pointed star above the letters 'JTB' in a bold, blue, italicized sans-serif font. To the right of 'JTB' is the word 'Capital' in a red, italicized sans-serif font. Further right is the word 'Online' in a red, italicized sans-serif font, where the letter 'O' is replaced by a blue circle with horizontal white lines.



# ACCESS MBCAPITAL ONLINE TRADING SYSTEM

**MBCapital Online**  
ỨNG DỤNG GIAO DỊCH CHỨNG CHỈ QUỸ  
TRỰC TUYẾN

Account

Password

Captcha code **y820**

**Login**

**Register account**

Forgot password? \_\_\_\_\_

Guidelines \_\_\_\_\_

Confirm OTP for opening account \_\_\_\_\_

- 1** Account number issued by MB Capital after registration
- 2** Enter the password provided by MBCapital via email / SMS after completing the registration
- 3** Enter captcha code
- 4** After completing fill information, Customer click "Login", the software redirects to the home screen
- 5** Investors doesn't have an online trading account register here.
- 6** Investor forgot password, can get it here
- 7** Download the user guide here
- 8** For investors who have registered to open an account but have not authenticated OTP

# MBCAPITAL ONLINE INTERFACE



**Information of investor account**



**Fund transaction history statement**



**Place Buy / Sell / SIP orders**



**Search for documents of Funds  
at the Fund Management  
Company website**



**Information of investor's profit**

# PLACE BUY/ SELL ORDERS

04/11/2019 Trading account: 909C101774 Welcome, Đỗ Thị Bích Tiên

JMB Capital Order Portfolio InQuery Other Account

1 Place normal order Place SIPs order

MBGF 11,126 (0%) MBVF 14,525 (0%)

(\*)The nearest reference NAV price

### ORDER-SUBSCRIPTION

Trading account: 909C101774

Order type: Buy

Fund code: Enter Fund code..

Broker care: Mọi giới mặc định

Amount: 0

Money left: 0

SUBSCRIPTION REFRESH

Full name: Đỗ Thị Bích Tiên Authorization information

ID No.: 033193002705 ID card image ✓

Issued date: 18/02/2019

Fund information:

Trans. date: [Field]

Closed order book time: [Field] 5

NAV of last session: 0

Count-down : 0 Day 0 Hour 0 Min 40 Sec

**1** Select "Place normal order"

**2** Select order type "Buy/Sell/Switch"  
Select Fund code  
Enter the amount of Buy / Sell fund certificate  
Money Left: the amount available in trading account

**3** Confirm buy / sell order

**4** Select "Refresh" when the investor wants to re-enter information

**5** The system displays information on the trading day, order closing time and the latest NAV

# CONFIRM OTP CODE FOR BUY/SELL ORDERS

**ORDER CONFIRMATION** ✕

This order will be executed on 07/11/2019

Full name	Đỗ Thị Bích Tiên
Trading account	909C101774
Fund code	MBVF
Sub. value	10,000,000
OTP	<input type="text" value="Enter OTP."/>

*Note: Please check carefully order information.*

**1** ←

**2** ←

**SUBSCRIPTION**

**1** Investors enter OTP code had the system send it to the registered phone number after placing an order

**2** Select "Accept" to complete

*Note: Investors please check information before confirm OTP*

# PLACE BUY/ SELL ORDERS

Tab "Order book" show the ordered orders

Order book Fund code portfolio

Total: 2

	Fund code	Order type	Amount / Quantity	Matched quantity	Status	Order date	Trans. date	Order time	User
	MBVF	SUB.	10,000,000	0	Processing	04/11/2019	07/11/2019	11:10:31	USERONLINE
	MBVF	SUB.	10,000,000	0	Wait for OTP confirmation	04/11/2019	07/11/2019	11:04:46	USERONLINE

Investors search for information by each criterion

Tab "Fund code portfolio " shows the certificates that investors are holding

Order book Fund code portfolio

Trading account 909C101774 Fund code Search

Fund code	Total	Normal	SIP	Sub. value	Current NAV	Profit/Loss amount	% Profit/Loss

Feature for investors to search for holding fund certificates

# PLACE SIP ORDERS

The screenshot shows the JMB Capital website interface for placing SIP orders. At the top, there are navigation links: Home, Order, Portfolio, InQuery, Other, and Account. Below the navigation, there are two fund performance indicators: MBGF 11,126 (0%) and MBVF 14,525 (0%). A dropdown menu is open, showing 'Place normal order' and 'Place SIPs order'. The main form is titled 'PERIODIC INVESTMENT' and contains several sections:

- Form Fields:** Trading account (909C101774), Order type (Buy), Fund code (Enter Fund code...), Broker care (Môi giới mặc định), Minimum amount (0), and Amount left.
- Buttons:** SUBSCRIPTION and REFRESH.
- Fund Information:** Full name (Đỗ Thị Bích Tiên), ID No. (033193002705), Issued date (18/02/2019), Trans. date, Closed order book time, NAV of last session (0), and a count-down timer (0 Day, 0 Hour, 0 Min, 0 Sec).
- Order Book:** A table with columns: Order ID, Order type, Fund code, Min. amt, Status, Regis. date, Regis. user, and Regis. time.

Red arrows and numbers 1 through 6 indicate the steps for placing an order:

- 1: Select SIP Order (points to 'Place SIPs order')
- 2: Select Buy/Sell (points to 'Buy')
- 3: Confirm buy / sell order (points to 'SUBSCRIPTION')
- 4: Select "Refresh" when the investor wants to re-enter information (points to 'REFRESH')
- 5: The system displays information on the trading day, order closing time and the latest NAV (points to 'Fund information')
- 6: SIP order book (points to the table)

**1** Select SIP Order

**2** Select Buy/Sell  
Chọn Mua/Bán  
Select the Fund code to buy  
Money Left: the amount available in trading account

**3** Confirm buy / sell order

**4** Select "Refresh" when the investor wants to re-enter information

**5** The system displays information on the trading day, order closing time and the latest NAV

**6** SIP order book

# CONFIRM OTP CODE FOR BUY/SELL SIP ORDERS

**ORDER CONFIRMATION**✕

This order will be executed on 07/11/2019

Full name	Đỗ Thị Bích Tiến
Trading account	909C101774
Fund code	MBVF
Sub. value	1,000,000
OTP	Enter OTP.

Note: Please check carefully order information.

Accept

**1** ←

**2** ←

## SUBSCRIPTION

**1** Investors enter OTP code had the system send it to the registered phone number after placing an order

**2** Select "Accept" to complete

*Note: Investors please check information before confirm OTP*



# INVESTMENT PORTFOLIOS



Order Portfolio InQuery Other Account

MBGF 11,128 (0%) ▶

MBVF 14,525 (0%) ▶

(\*)The nearest reference NAV price

**PORTFOLIO**

(\*) Báo cáo được lập theo giá trị NAV tạm tính tại phiên giao dịch gần nhất. Giá trị NAV thực tế khi KH bán có thể tăng hoặc giảm so với giá tạm tính phụ thuộc vào diễn biến thị trường Chứng khoán

**Holding**

Symbol	Sub-trans. date	Quantity (1)	Capital NAV price (2)	Current NAV price (3)	Buy amount	Sub. fee (4)	Capital value (5)=(1)*(2)	NAV (6)=(1)*(3)
+ MBVF (1)		138.57	14,288	14,525	2,000,000	20,000	1,979,888	2,012,729

**Sold**

Symbol	Sub-trans. date	Quantity (1)	NAV price (2)	NAV value (3)=(1)*(2)	Sell date	Quantity (4)	NAV red. Price (5)	Red. value (6)=(4)*(5)-(8)-(9)

1

2

**1** The tab "Holding" shows a list of fund certificates held by investors including details of profit / loss, expected acquisition fee, etc.

**2** The tab "Sold" displays the list of fund certificates of bidders including details of the selling price, buying and selling fees, transaction tax, etc.

# INFORMATION QUERY

The screenshot shows the JIB Capital InQuery interface. At the top, there is a navigation menu with 'InQuery' selected. A dropdown menu is open, showing options: 'Query balance and fund code', 'Cash transaction history', 'Fund transaction history', and 'Information'. Red callout numbers 1 through 5 are placed around the interface with blue arrows pointing to specific elements: 1 points to the 'InQuery' menu item; 2 points to the dropdown menu; 3 points to the 'Fund transaction history' option; 4 points to the 'Cash transaction history' option; and 5 points to the 'Query balance and fund code' option. The main content area shows a 'Trading account' field with the value '909C101774'. Below it, there are several summary fields: 'Total amount : 0 d', 'Avail. amount: 0', 'Blocked amount : 0', 'Reveiced pend. amount : 0', and 'Amount: 10,000,000 d'. At the bottom, there is a 'Balance' section with a table.

Fund code	Trade SIP	Normal	Blocked normal	Blocked SIP	Total quantity	NAV
MBVF	0.00	138.57	0.00	0	138.57	14,525.00

- 1** Allow customers to query money and balance of fund certificates
- 2** Enables customers to searching information about increase, decrease and balance of fund certificates in account
- 3** Allows customers to view the arising of the balance of the Fund in any time period

- 4** Allows investors to look up order transaction history of investor account
- 5** Enables customers to searching information about increase, decrease money and account balance in customer's account



**MB CAPITAL MANAGEMENT JOINT STOCK COMPANY**

**Customer Service Department**

8th Floor, No. 3, Lieu Giai Street, Ba Dinh District, Hanoi

T: (8424) 3726 2808 (ext: 14|17|32) | F: (8424) 3726 2810

Email: [ir@mbcapital.com.vn](mailto:ir@mbcapital.com.vn)

Website: [www.mbcapital.com.vn](http://www.mbcapital.com.vn)